Date:

August 28, 2002

Atty Docket No.: 000166.0108-US01

Inventor:

J. Michael Ramstack, et al.

Application No.:

10/022,859

Filing Date: December 20,2001

PREPARATION OF MICROPARTICLES HAVING IMPROVED FLOWABILITY Title:

## **Documents Filed:**

Transmittal Letter (in duplicate);

Fee Transmittal;

Amendment Transmittal Letter (in duplicate);

Preliminary Amendment;

Supplemental Information Disclosure Statement;

Form PTO/SB/08A;

One cited document;

Return receipt postcard.

STAMP AND RETURN Check No. 300679 for \$336.00 to cover the additional claims fee; and



PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

EEE TO ANOMITTAL				Complete if Known						
FEE TRANSMITTAL for FY 2002			Application Number				10/022,859-Conf. #1415			
			Filing Date				December 20, 2001			
Patent fees are subject to annual revision.			First Named Inventor			ntor	J. Michael Ramstack, Ph.D.		.D.	
r atent rees are subject to shinus revision.			Examiner Name				Not Yet Assigned			
Applicant claims small entity status. See 37 CFR 1.27			Group Art Unit				1615		w	
TOTAL AMOUNT OF PAYMENT (	336.00		Attorn	ey Do	cket No	р.	000166.0	108-US01		
METHOD OF PAYMENT (check all to	nat apply)				FEE	CALCUL	ATION (co	ntinued)	(3)	
X Check Credit Money	3 4	ודותת	ONAL	FEES				=		
	Other None	J	<i></i>						<b>~</b> .	
Deposit Account		l armo	Entity	Smal	I Entity				<u> </u>	
Deposit Account 50-0740		Fee	Fee	Fee	Fee	-	Fee Desc		0	
Number		Code	(\$)	Code	(\$)		ree Desc	:ripuon	Fee Paid	
Deposit Account Covington & Burling		105	130	205	65	Surcharge	– late filing fe	e or cath	Ú	
Name The Commissioner is hereby authorized to: (che	ck all that apply)	127	50	227	25	Surcharge ~ late provisional filing fee or cover sheet.		H		
Charge fee(s) indicated below X Credit a	ny overpayments	139	130	139	130		h specificatio	n		
Charge any additional fee(s) during the pendency		147	2,520	147	2,520		n-English specification  r filing a request for ex parte reexamination			
application		i				-	·	of SIR prior to		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		112	920*	112	920°	Examiner a	ction		I	
to the above-identified deposit account.		113	1,840*	113	1,840°	Examiner a	publication of ction	r SIR aπer		
FEE CALCULATION			110	215	55	_	or reply within			
1. BASIC FILING FEE Large Entity Small Entity		116	400	216	200			second month		
Foe Foo Foo Foo		117	920	217	460		or reply within			
Code (S) Code (S) Fee Description	Fee Paid	118	1,440	218	720			fourth month		
101 740 201 370 Utility filing fee		128	1,960	228	980		or reply within	n fifth month		
106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee		119	320 320	219 220	160 160	Notice of A		<b>4</b>		
108 740 208 370 Reissue filing fee	<del></del>	121	280	221	140		of in support of r oral hearing	тап арреаі		
114 160 214 80 Provisional filing fee		138	1,510	138	1,510	•	•	lic use proceeding		
SUBTOTAL (4) (e)		140	110	240	55		evive - unav			
SUBTOTAL (1) (\$) 0.00		141	1,280	241	640	Petition to r	evive - uninte	entional		
2. EXTRA CLAIM FEES FOR UTILITY A	ND REISSUE	142	1,280	242	640	Utility issue	fee (or reiss	1e)		
Extra Fee from Claims below	Fee Paid	143	460	243	230	Design issu	ie fee			
Total Claims 51 -64** = x	= 0.00	144	620	244	310	Plant issue	fee			
Independent 8 -4** = 4 x 84.00	= 336.00	122	130	122	130	Petitions to	the Commiss	ioner		
Multiple Dependent =		123	50	123	50	Processing	fee under 37	CFR 1.17(q)		
Large Entity Small Entity		126	180	126	180	Submission	of Informatio	n Disclosure Stmt		
Fee Fee Fee Code (\$) Fee Descrip	otion	581	40	581	40			ssignment per of properties)		
103 18 203 9 Claims in excess of 20		146	740	246	370	Filing a sub	mission after	final rejection		
102 84 202 42 Independent claims in	excess of 3					(37 CFR 1.1	129(a)) Iditional inver	ation to be	[	
104 280 204 140 Multiple dependent clai		149	740	249	370	examined (	37CFR 1.129	(b))		
109 84 209 42 "Reissue independen over original patent	claims	179 169	740	279		-		xamination (RCE)		
110 18 210 9 ** Reissue claims in excess of 20			900	169	900	of a design	expedited ex application	amination		
and over original patent			e (spec	ify)						
SUBTOTAL (2) (\$) 336.00			'Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00							
**or number previously paid, if greater, For Reissue										
SUBMITTED BY	Registra	tion No	1-			<del> </del>	if applicable)			
Name (Print/Type) Andrea G. Reister		(A)tome		<u> 1</u> 36	.253		Telephone	(202) 662-6000		
Signature (Indication	SIK	in	is	_			Date	August 28, 2002	2	

	NDMENT 1	·		TTER	1	ocket No. 6.0108-US
				Examiner Not Yet Assign		Art Un
plicant(s): J. M		·		1100 1 00 10019.	160	03 .
Jilcarius j. 5. 17			ı <b>l.</b>			ري <u>د .</u> ج
ention: PREPA	RATION OF N	AICROPARTIC	LES HAVING	G IMPROVED FLOV	NABILITY	
		THE COMMI				Ē ;
				fied application.		လ်
ne fee has beer	ı calculated an	<del></del>				53
	Claima		IS AS AMENI	DED		
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate		
Total Claims	51	- 64 =		x		0.00
Independent Claims	8	- 4 =	4	x 84.00		336.00
Multiple Depend	lent Claims (ch	eck if applicabl	ie)			
<del></del>	Il fee is require			Small Entity		
	ge Deposit Acc copy of this she			n the amount of \$ _		·
	ne amount of \$ credit card. Fo			the filing fee is enclo	osed.	
as described	below. A dup	licate copy of t		I credit Deposit Acco	ount No	50-0740
	ny overpaymen ny additional fili 2.1		n processing f	fees required under 3	7 CFR 1.1	l6 and 1.17.
Lnde ( Andrea G. Reist		eist		Dated:	August 28	8, 2002
Attorney Reg. N	10 30,233					

DC: 627807-1

Docket No.: 000166.0108-US01 (PATENT)

Examiner: Not Yet Assigned

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

J. Michael Ramstack, Ph.D., et al.

Application No.: 10/022,859

Group Art Unit: 1615

Filed: December 20, 2001

For: PREPARATION OF MICROPARTICLES HAVING IMPROVED FLOWABILITY

# TRANSMITTAL LETTER

Commissioner for Patents Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

- 1. Fee Transmittal;
- 2. Amendment Transmittal Letter (in duplicate);
- Preliminary Amendment;
- Supplemental Information Disclosure Statement;
- 5. Form PTO/SB/08A;
- 6. One cited document;
- 7. Check No. 300679 for \$336.00 to cover the additional claims fee; and
- 8. Return receipt postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 000166.0108-US01. A duplicate copy of this paper is enclosed.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 50-0740.

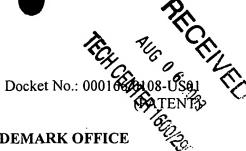
Dated: August 28, 2002

Respectfully submitted,

Andrea G. Reister

Registration No.: 36,253 COVINGTON & BURLING 1201 Pennsylvania Avenue, N.W. Washington, DC 20004-2401

(202) 662-6000



Group Art Unit: 1615

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

J. Michael Ramstack, et al.

Application No.: 10/022,859-Conf. #1415

Filed: December 20, 2001 Examiner: Amy E. Pulliam

For: PREPARATION OF MICROPARTICLES HAVING IMPROVED FLOWABILITY

TRANSMITTAL LETTER

# VIA HAND CARRY

Examiner Amy E. Pulliam
United States Patent and Trademark Office
Group Art Unit 1615
Crystal Mall 1
1911 South Clark Place
Arlington, VA 22202

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

- 1. Resubmission of Documents;
- 2. Copy of date stamped postcard evidencing receipt by the PTO on August 28, 2002 of the documents listed below as items 3-10;
  - 3. Transmittal Letter;
  - 4. Fee Transmittal;

5. Amendment Transmittal Letter;

- 6. Preliminary Amendment;
- 7. Supplemental Information Disclosure Statement;
- 8. Form PTO/SB/08A;
- 9. One cited document; and
- 10. Check No. 300679 for \$336 to cover the additional claims fee; and

2

11. Return receipt postcard.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Order No. 000166.0108-US01. A duplicate copy of this paper is enclosed.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 50-0740.

Dated: July 31, 2003

Respectfully submitted,

Andrea G. Reister

Registration No. 1/36,253 COVINGTON & BURLING 1201 Pennsylvania Avenue, N.W.

Washington, DC 20004-2401

(202) 662-5141

Attorney for Applicant

Docket No.: 000166.0108-US01 (PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

J. Michael Ramstack, Ph.D., et al.

Application No.: 10/022,859-Conf. #1415

Group Art Unit: 1615

Filed: December 20, 2001 Examiner: Amy E. Pulliam

For: PREPARATION OF MICROPARTICLES

HAVING IMPROVED FLOWABILITY

## **RESUBMISSION OF DOCUMENTS**

## VIA HAND CARRY

Examiner Amy Pulliam United States Patent and Trademark Office Group Art Unit 1615 Crystal Mall 1 1911 South Clark Place Arlington, VA 22202

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

The documents listed below were filed by applicants in the U.S. Patent and Trademark Office (PTO) on August 28, 2002, as evidenced by the copy of the post card date-stamped by the PTO (listed below as item 1). A copy of each of the documents listed below is submitted herewith for entry and action by the PTO. As noted by Examiner Pulliam in the telephone message of July 30, 2003, upon receipt of the attached documents, the Office Action mailed July 24, 2003 will be vacated, the preliminary amendment filed August 28, 2002 will be entered, and a new office action will be issued on the claims after entry of the preliminary amendment. As the preliminary amendment was originally filed on August 28, 2002 and not acted upon due to error on the part of the PTO, applicants respectfully submit that the preliminary amendment is

Appl. No.: 10/022,859-Conf. #1415 2 Docket No.: 000166.0108-US01

in acceptable form in accordance with the rules in affect on August 28, 2002, and not subject to the provisions of 37 C.F.R. § 1.121 that are effective as of July 30, 2003.

- 1. Copy of date stamped postcard evidencing receipt by the PTO on August 28, 2002 of the documents listed below as items 2-9;
  - 2. Transmittal Letter;
  - 3. Fee Transmittal;
  - 4. Amendment Transmittal Letter;
  - 5. Preliminary Amendment;
  - 6. Supplemental Information Disclosure Statement;
  - 7. Form PTO/SB/08A;
  - 8. One cited document; and
  - 9. Check No. 300679 for \$336 to cover the additional claims fee.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 50-0740.

#### CONCLUSION

The Examiner is respectfully requested to enter the preliminary amendment, consider the Information Disclosure Statement, vacate the outstanding office action dated July 24, 2003, and

Appl. No.: 10/022,859-Conf. #1415 3 Docket No.: 000166.0108-US01

issue a new office action. In the event that communication with the undersigned will expedite prosecution of this application, the Examiner is requested to contact the undersigned at the number provided.

Dated: <u>July 31, 2003</u>

Respectfully submitted,

Andrea G. Reister

Registration No.; 36,253 COVINGTON & BURLING 1201 Pennsylvania Avenue, N.W. Washington, DC 20004-2401

(202) 662-5141

CONTROL NO.

300679

REFERENCE NO.	INVOICE NO.	INV. DATE	INVOICE AMOUNT	REQNO.	DESCRIPT	ION
977416 000166.00108	001450	08-26-02	336.00	04290	COMMISSIONER OF PATRADEMARKS - Additional claims fee for 00166.00108-US01 (MB)	ATENTS AND
		TOTAL	\$336.00	DISCNT	\$.00 NET	\$336.00

CONTROL NO CHECK DATE 300879 08/27/02

CITIBANK, DELAWARE One Penns Way New Castle, DE 19720

FOR SECURITY PURPOSES. THE FACE OF THIS DOCUMENT CONTAINS A COLORED BACKGROUND AND MICROPRINTING IN THE BORDER **COVINGTON & BURLING** 

1201 PENNSYLVANIA AVE., N.W.

P.O. BOX 7566 WASHINGTON, D.C. 20044 CHECK NO. 300679

**VOID AFTER 180 DAYS** 

CHECK AMOUNT \$336.00\*\*\*

62-20

PAY

THREE HUNDRED THIRTY-SIX AND 00/100 Dollar(s)

TO THE **ORDER** OF

**COMMISSIONER OF PATENTS AND TRADEMARKS** 



AMOUNTS OVER \$1000.00 REQUIRE 2 SIGNATURES

# 300679# 1:0311002091 386554598